

09/751,332

PTO/SB/21 (12/97)
Approved for use through 9/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No.

TRANSMITTAL FORM				731,332					
(to be used for all correspondence after initial filing) APR 0 9 2001			Filing Date	+	December 28, 2000 Darwin A. Engwer				
			First Named Inventor						
			Group Art Unit	263	1				
			Examiner Name						
Total Number of This Submission 29			Attorney Docket Number	er 323	3239P069				
ENCLOSURES (check all that apply)									
Fee Transmittal Form		Assignmen (for an App	t Papers lication)		After Allowance Communication to Group				
Fee Attached		Drawing(s)			Appeal Communication to Board of Appeals and Interferences				
Amendment / Response	e	Licensing-re	elated Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final Affidavits/declaration(s)		Petition Routing Slip (PTO/SB/69) and Accompanying Petition			Proprietary Information				
Extension of Time Request		To Convert a Provisional Application			Status Letter				
Express Abandonment Request		Power of Attorney, Revocation Change of Correspondence Address		s X	Additional Enclosure(s) (please identify below):				
Information Disclosure Statement		Terminal Disclaimer			cc of Notice to File Missing Parts; PTO-1449 w/cited				
Certified Copy of Priority Document(s)		Small Entity Statement			reference; Cross Reference to Related Applications;				
Response to Missing Parts/ Incomplete Application		Request for Refund			Declaration and P of A				
Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks							
	SIGNATURE.	05 40011041	T ATTORNEY OR (OFNE					
			IT, ATTORNEY, OR A	GENI					
or	George L. Fountain, Reg. No. 36,374								
Signature BLAKELY, SOKOLOFE, TAYLOR & ZAFMAN LLP Signature Loge J. Formlain									
	CERTIFIC	ATE OF MAIL	ING/TRANSMISSION						
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: April 3, 2001									
Typed or printed name									
Signature				Date	April 3, 2001				

PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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		Complete if Known			
FEE TRANSMI for FY 200	IT/TAL່ວິ	Application No.	09/751,332		
for EV 200	APR 0 9 2001 ~	Filing Date	December 28, 2000		
	17/2 (5)	First Named Inventor	Darwin A. Engwer		
Patent fees are subject to annual re	evision.	Examiner Name			
TOTAL AMOUNT OF PAYMENT	THAD LIVE	Group/Art Unit	2631		
	(\$) 170.00	Attorney Docket Number	3239P069		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEE						
Deposit	Large Entity	Large Entity Small Entity					
Account Number 02-2666	Fee Fee Code (\$)	Fee Fee Code (\$)		e Description		Fee Paid	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	105 130 127 50	205 65	Surcharge - late Surcharge - late			130.00	
Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16,1.17, 1.18 and 1.20.	139 130 147 2,520		cover sheet. Non-English specific for filing a required.		nination		
Applicant claims small entity status. See 37 CFR 1.27.	112 920*	112 920	*Requesting pub		prior to		
2. ✓ Payment Enclosed: ✓ Check ☐ Credit card ☐ Money ☐ Other	113 1,840*	113 1,840	*Requesting pub Examiner action	lication of SIR	after		
Order Other	115 110	first month					
FEE CALCULATION	116 390	216 195	Extension for re	sponse within			
1. BASIC FILING FEE	117 890	217 445	Extension for re	sponse within	third month		
Large Entity Small Entity	118 1,390	218 695	Extension for re	sponse within	fourth month		
Fee Fee Fee Fee Description Fee Paid	128 1.890	228 945	Extension for re	sponse within	fifth month		
Code (\$) Code (\$)	119 310	219 155	Notice of Appea	ıl			
101 710 201 355 Utility filing fee	120 310	220 155	Filing a brief in	support of an a	appeal		
106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee	121 270	221 135	Request for oral	l hearing			
108 710 208 355 Reissue filing fee	138 1,510	138 1,510	Petition to institu	ute a public us	e proceeding		
114 150 214 75 Provisional filing fee	140 110	240 55	Petition to revive	e - unavoidabl	e		
<u> </u>	141 1,240	241 620	Petition to revive	e - unintention	al		
SUBTOTAL (1) (\$)	142 1,240	242 620	Utility issue fee	(or reissue)			
2. EXTRA CLAIM FEES Extra Fee from	143 440	243 220	Design issue fe	e			
Claims below Fee Paid	144 600	244 300	Plant issue fee				
Total Claims Independent	122 130	122 130	Petitions to the	Commissioner	•		
Claims = X =	123 50	123 50	Petitions related	l to provisional	applications		
Multiple Dependent =	126 180	126 180	Submission of I	nformation Dis	closure Stmt		
**or number previously paid, if greater, For Reissues, see below Large Entity Small Entity	581 40	581 40	Recording each patent assignment per property (times number of properties)			40.00	
Fee Fee Fee Fee Description	146 710	246 355	Filing a submiss	Filing a submission after final rejection			
103 18 203 9 Claims in excess of 20	149 710	249 355	For each addition	(37 CFR 1.129(a)) For each additional invention to be			
102 80 202 40 Independent claims in excess of 3	470 -46 070 0 Description Configuration (DOE)		nation (PCE)				
104 260 204 135 Multiple Dependent claim, if not paid	169 900		•	uest for Continued Examination (RCE)			
109 80 209 40 **Reissue independent claims over original patent			of a design appli		adon		
110 18 210 9 **Reissue claims in excess of 20	Other fee (spe						
and over original patent	Other fee (spe *Reduced by Bas		aid o:	IDTOTAL (C)	<u> </u>	475.55	
SUBTOTAL (2) (\$)	I VOLUME TO Y DAS		St	JBTOTAL (3)	(\$)	170.00	
SUBMITTED BY Complete (if applicable						ble)	
Name (Print/Type) George L. Fountain	Registration No. (Attomey/Agent) 36,374 Telephone (714) 55			(714) 557	-3800		
Signature George L. Fountain				Date	04/03	/01]	

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Page 1 of 2

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE www.uspto.gov

WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/751,332

08791

12/28/2000

Darwin A. Engwer

3239P069

CONFIRMATION NO. 7669

FORMALITIES LETTER

OC000000005752481*

Date Mailed: 02/09/2001

BLAKELY SOKOLOFF TAYLOR & ZAFMAN 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR LOS ANGELES, CA 90025

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/21/2001 TV0111

02 FC:102 03 FC:103

00000009 022666

09751332

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$528.
 - \$288 for 16 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1368.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

130.00

04/11/2001 YPOLITE1 00000041 09751332

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE